Privacy Addendum for California Residents

Effective Date: January 1, 2020

Last Updated on: December 27, 2019

This Privacy Addendum for California Residents (the “California Addendum”) supplements the information contained in Baird’s Privacy Notice (the “Privacy Notice”) and applies solely to all visitors, users, and others who reside in the State of California (“consumers” or “you”). We adopt this California Addendum to comply with the California Consumer Privacy Act of 2018 (“CCPA”) and, unless defined in this California Addendum or the Privacy Notice, any terms defined in the CCPA have the same meaning when used in this California Addendum. If you are a California resident with disabilities and need to be provided with an accessible version of this California Addendum, please contact us at the contact information below.

The California Addendum does not apply to personal information outside the scope of the CCPA, including:

- Personal information publicly available from government records, deidentified or aggregate consumer information, or personal information covered by certain sector-specific privacy laws, including the Gramm-Leach-Bliley Act (“GLBA”), and implementing regulations, or the California Financial Information Privacy Act (“FIPA”), and the Driver’s Privacy Protection Act of 1994 (“DPPA”).
- Personal information bearing on a consumer’s credit worthiness, credit standing, credit capacity, character, general reputation, personal characteristics, or mode of living as set forth in the Fair Credit Reporting Act (“FCRA”).

Information We Collect

When Baird acts as a service provider for our clients, we collect personal information on behalf of our customers subject to our contractual obligations. When we act as service provider, we follow the instructions of our clients on how to process personal information on our client’s behalf. The provisions of the California Addendum do not apply to the personal information that we process on behalf our business clients.

We have collected the following categories of personal information from our consumers within the twelve (12) months prior to the effective date of this California Addendum:

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Identifiers</td>
<td>A real name, postal address, Internet Protocol address, email address, account name, Social Security number, driver’s license number, passport number, or other similar identifiers.</td>
</tr>
<tr>
<td>B. Personal information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80(e)).</td>
<td>A name, signature, Social Security number, address, telephone number, passport number, driver’s license or state identification card number, employment, bank account number, or any other financial information, or medical information.</td>
</tr>
<tr>
<td></td>
<td>*Note: Some personal information included in this category may overlap with other categories.</td>
</tr>
<tr>
<td>C. Protected classification characteristics under California or federal law.</td>
<td>Age, citizenship, or marital status.</td>
</tr>
<tr>
<td>D. Commercial information.</td>
<td>Records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.</td>
</tr>
<tr>
<td>E. Internet or other similar network activity.</td>
<td>Browsing history, search history, information on a consumer’s interaction with a website, application, or advertisement.</td>
</tr>
<tr>
<td>Category</td>
<td>Examples</td>
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<tr>
<td>F. Professional or employment-related information.</td>
<td>Current job history.</td>
</tr>
<tr>
<td>G. Inferences drawn from other personal information.</td>
<td>Profile reflecting a person’s preferences as it relates to client relationship references.</td>
</tr>
</tbody>
</table>

**Sources of Personal Information**

Baird obtains the categories of personal information listed above from the following categories of sources:

- Directly from you. For example, from forms or applications you complete or products and services you purchase. (Categories A, B, C, D, F, G)
- From our business partners and other third parties who help us manage our business (with your consent as required by law). (Categories A, B, C, D, F)

**Use of Personal Information**

We have used or disclosed the personal information we collect for one or more of the following business or commercial purposes over the past twelve (12) months:

- To fulfill or meet the reason you provided the information. (Categories A, B, C, D, F, G)
- To create, maintain, customize, and secure your account with us. (Categories A, B, C, G)
- To process your requests, purchases, transactions, and payments and prevent transactional fraud. (Categories A, B, D, E)
- To provide you with support and to respond to your inquiries, including to investigate and address your concerns and monitor and improve our responses. (Categories A, B, E, G)
- To help maintain the safety, security, quality, and integrity of our website, products and services, databases and other technology assets, and business, including to detect security incidents, protect against malicious, deceptive, fraudulent, or illegal activity, and prosecute those responsible for that activity, and to debug to identify and repair errors that impair existing intended functionality. (Categories A, B, D, E)
- To respond to law enforcement requests, outside auditors and lawyers and as required by applicable law, court order, or governmental regulations. (Categories A, B, C, D, E, F)
- Performing services on behalf of the business or service provider, including maintaining or servicing accounts, providing customer service, processing or fulfilling orders and transactions, verifying customer information, processing payments, providing financing, providing advertising or marketing services, providing analytic services, or providing similar services on behalf of the business or service provider. (Categories A, B, C, D)
- As described to you when collecting your personal information or as otherwise set forth in the CCPA. (Categories A, B, C, D, E, G)

Baird will not collect additional categories of personal information or use the personal information we collected for additional purposes without providing you notice.

**Sharing Personal Information**

**Disclosures of Personal Information for a Business Purpose**

In the preceding twelve (12) months, Baird has disclosed the following categories of personal information for a business purpose to the listed categories of third parties:
• Third Parties: Affiliates and subsidiary organizations of Baird, Business partners, Government
entities as provided in the Privacy Notice.

Sales of Personal Information

As noted in our general Privacy Notice, we do not sell your personal information.

Your Rights and Choices

The CCPA provides consumers (California Residents) with specific rights regarding their personal
information. This section describes your CCPA rights and explains how to exercise those rights.

Access to Specific Information and Data Portability Rights

You have the right to request that Baird disclose certain information to you about our collection of your
personal information over the past 12 months. Once we receive and confirm your verifiable consumer
request (see Exercising Access, Data Portability, and Deletion Rights), we will disclose to you:

• The categories of personal information we collected about you.
• The categories of sources for the personal information we collected about you.
• Our business or commercial purpose for collecting or selling that personal information.
• The categories of third parties with whom we share that personal information.
• The specific pieces of personal information we collected about you.
• If we disclosed your personal information for a business or commercial purpose, a list disclosing
  the personal information categories that each category of recipient obtained.

Our employees and employees of our business partners and service providers also do not have a Right
to Know.

Deletion Request Rights

You have the right to request that Baird delete any of your personal information that we collected or
maintained, subject to certain exceptions. Once we receive and confirm your verifiable consumer request
(see Exercising Access, Data Portability, and Deletion Rights), we will delete (and direct our service
providers to delete) your personal information from our records, unless an exception applies.

We may deny your deletion request if retaining the information is necessary for us or our service provider(s) to:

1. Complete the transaction for which we collected the personal information, provide a good or
   service that you requested, take actions reasonably anticipated within the context of our ongoing
   business relationship with you, or otherwise perform our contract with you.
2. Detect security incidents, protect against malicious, deceptive, fraudulent, or illegal activity, or
   prosecute those responsible for such activities.
3. Comply with the California Electronic Communications Privacy Act (Cal. Penal Code § 1546 et.
   seq.).
4. Enable solely internal uses that are reasonably aligned with consumer expectations based on
   your relationship with us.
5. Comply with a legal obligation.
6. Make other internal and lawful uses of that information that are compatible with the context in
   which you provided it.

The list of exceptions above is not exhaustive, and we may also deny a deletion request as otherwise
permitted by law. The same limitations described above with respect to the Right to Know, apply to the
Right to Deletion.
Exercising Access, Data Portability, and Deletion Rights

To exercise the access, data portability, and deletion rights described above, please submit a verifiable consumer request to us by either:

- Calling (toll free) us at 1-800-792-2473.
- Click on “Contact Us” in the top right corner at www.rwbaird.com/privacy

Only you, or a person registered with the California Secretary of State that you authorize to act on your behalf (an "Authorized Agent"), may make a verifiable consumer request related to your personal information. You may also make a verifiable consumer request on behalf of your minor child.

Please note that Baird is not obligated to provide information to a consumer in response to a request more than twice in a twelve (12) month period. The verifiable consumer request must:

- Provide sufficient information that allows us to reasonably verify you are the person about whom we collected personal information or an Authorized Agent. To verify your identity, we may request up to three pieces of personal information about you, and we reserve the right to take additional steps as necessary to verify your identity if we have reason to believe a request is fraudulent.
- Describe your request with sufficient detail that allows us to properly understand, evaluate, and respond to it.

We are unable to fulfill your request or provide you with personal information if we cannot verify your identity or authority to make the request and confirm the personal information relates to you. With few exceptions, we will only review and fulfill a request from your Authorized Agent if (a) you grant the Authorized Agent written permission to make a request on your behalf, (b) you or the Authorized Agent provides us notice of that written permission, and (c) we are able to verify your identity in connection with that notice and the request.

Making a verifiable consumer request does not require you to create an account with us. However, we do consider requests made through your password protected account sufficiently verified when the request relates to personal information associated with that specific account.

We will only use personal information provided in a verifiable consumer request to verify the requestor's identity or authority to make the request.

Response Timing and Format

We endeavor to respond to a verifiable consumer request within forty-five (45) days of its receipt. If we require more time (up to 45 additional days), we will inform you of the reason and extension period in writing.

If you have an account with us, we will deliver our written response to that account. If you do not have an account with us, we will deliver our written response by mail or electronically, at your option.

Any disclosures we provide will only cover the 12-month period preceding the verifiable consumer request's receipt. The response we provide will also explain the reasons we cannot comply with a request, if applicable. For data portability requests, we will select a format to provide your personal information that is readily useable and should allow you to transmit the information from one entity to another entity without hindrance.

We do not charge a fee to process or respond to your verifiable consumer request unless it is excessive, repetitive, or manifestly unfounded. If we determine that the request warrants a fee, we will tell you why we made that decision and provide you with a cost estimate before completing your request.

Non-Discrimination

We will not discriminate against you for exercising any of your CCPA rights. Unless permitted by the CCPA, we will not:

- Deny you goods or services.
• Charge you different prices or rates for goods or services, including through granting discounts or other benefits, or imposing penalties.
• Provide you a different level or quality of goods or services.
• Suggest that you may receive a different price or rate for goods or services or a different level or quality of goods or services.

Changes to Our California Addendum
Baird reserves the right to amend this California Addendum. When we make changes to this California Addendum, we will post the updated notice on our website and update the effective date on this California Addendum. Your continued use of our website, products, or services following the posting of changes constitute your acceptance of such changes.

Contact Information
If you have any questions or comments about this California Addendum, the ways in which Baird collects and uses your information described in this California Addendum or in the Privacy Notice, your choices and rights regarding such use, or wish to exercise your rights under California law, please do not hesitate to contact us by either:
• Calling (toll free) us at 1-800-792-2473.
• Click on “Contact Us” in the top right corner at www.rwaird.com/privacy